

LORD Corporation

SUPPLIER CERTIFICATION

NAME	SUPPLIER NO.
COMPANY	E-MAIL ADDRESS
STREET ADDRESS	TELEPHONE NO.
CITY, STATE, ZIP	FAX NO.
DIVISION OF	CAGE CODE

1. Primary NAICS Code Number of Employees
DUNS Number SIC

2. Supplier certifies business is: *(Check all that apply)*

If company is owned by, or a division or subsidiary of, another company, the business size of the ultimate parent company is to be applied to your company. If you are a HUBzone or 8(a) certified business, please attach a copy of your certification. For detailed descriptions, please reference the attached Classification Definitions page.

- Large Business Small Business Foreign Business Concern
- Certified as a HUBzone Vietnam Veteran Owned Business
 Certified as an 8(a) Business Minority Business Enterprise
 Minority Owned Business Woman Business Enterprise
 Woman Owned Business Disabled Veteran Business Enterprise
 Veteran Owned Business Disadvantaged Veteran Enterprise
 Service Disabled Veteran Owned Business Veteran Business Enterprise
 Small Disadvantaged Business Disadvantaged Business Enterprise
 Native American Owned Business Historically Black Colleges/Universities
 Disabled Owned Business Labor Surplus
 Economically Disadvantaged Woman Owned Business
- Other (Please Describe) _____

If Supplier represented itself as a small disadvantaged or minority business above, please check where its ownership falls:

- African American Hispanic American Asian-Pacific American Subcontinent Asian
- Individual Concern other than one of the preceding (Please list)

If you have difficulty ascertaining your size status, please refer to the SBA's website at www.sba.gov/size.

Under 15 U.S.C 645(d), any person who misrepresents its size status shall (1) be punished by a fine, imprisonment, or both; (2) be subject to administrative remedies; and (3) be ineligible for participation in programs conducted under the authority of the Small Business Act.

Good for a one-year period. It is your responsibility to notify us if your size or ownership status changes during this period. After one year, you are required to recertify with us.

Please refer to <http://www.arnet.gov/far/> for more details on the sections below:

3. 52.222-22 Previous Contracts and Compliance Reports

a. Supplier has has not participated in a previous contract or subcontract subject to the Equal Opportunity Clause. FAR 52.222-26 is applicable when during a 12-month period the subcontractor has been awarded subcontracts that have an aggregate value in excess of \$10,000.

b. Supplier has has not filed all required compliance reports.

1) EEO-1 Report is applicable when subcontractor, employs 100 or more employees, or employs 50 or more employees and has Federal contracts/subcontracts totaling more than \$50,000.

Not Applicable (For more information, access the EEO home page at <http://www.eeoc.gov> and additional information at <http://www.eeoc.gov/employers/smallbusinesses.html>).

2) VETS-100 report is applicable when the subcontractor receives a contract/subcontract in the amount of \$25,000 or more (regardless of number of employees).

Not Applicable (For more information or filing online, access VETS at <https://vets100.vets.dol.gov>).

4. 52.222-25 Affirmative Action Compliance (Applicable to companies with 50 employees and a single award greater than \$50,000 to develop and have on file an Affirmative Action Program.) **Not Applicable**

a. Supplier represents that it has developed and has on file has not developed and does not have on file Affirmative Action Programs required by the Secretary of Labor, or

b. Supplier has has not previously had contracts subject to written Affirmative Action Programs.

5. 52.203-7 Anti-Kickback Procedures

Are you aware of kickback to LORD employees? YES NO

6. 52.209-6 Is this company currently debarred by the U.S. Government? YES NO

7. 52.203-11 "Certification & Disclosure Regarding Payments to Influence Certain Federal Transactions" and 52.203-12 "Limitation on Payments to Influence Certain Federal Transactions". The Supplier/Subcontractor, by signing this Annual Certification, hereby certifies to the intent of 52.203-11.

The supplier certifies that these certifications and representations are current, accurate and complete as of the date signed below.

Company Officer (Printed Name)

Signature

Date

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Classification Definitions

Minority-Owned - A business that is at least 51% owned by, and whose management and daily business operations are controlled by, one or more members of a socially and economically disadvantaged minority group, namely U.S. citizens who are African Americans (Black), Hispanic Americans, Native Americans, Asian-Pacific Americans and Indian Sub-Continent Americans.

Native American Owned - A business that is at least 51% owned by, and whose management and daily business Operations are controlled by, one or more Native American, Native Alaskan, or Native Hawaiian. This also includes American Indian, Eskimos, and Aleuts. It is also necessary to have federally recognized tribal enrollment (See FAR 52.226-1 and DFARS 252.226-7001)

Minority Business Enterprise (MBE) - A Minority Business Enterprise usually certified by a federal, state or local government agency as having met all of the government standards that award eligibility.

Women-Owned - A business that is at least 51% owned by, and whose management and daily business operations are controlled by one or more women who are U.S. citizens.

Woman Business Enterprise (WBE) - A Women Business Enterprise usually certified by a federal, state or local government agency as having met all of the government standards that award eligibility.

Economically Disadvantaged Women-Owned - A business that is at least 51% owned by, and whose management and daily business controlled by one or more women who are U.S. citizens and who are economically disadvantaged in accordance with 13 CFR part 127.

Veteran Owned - A business that is a least 51% owned by one or more veterans, who control and operate the business. Control in this context means exercising the power to make policy decisions and operate means to be actively involved in the day-to-day management of the business. The term "veteran" (38 U.S.C.'101(2)) means a person who served in the active military, naval, or air service, and who was discharged or released there from under conditions other than dishonorable.

Disabled Veteran Business Enterprise (DVBE) - A disabled veteran recognized by the state of California means a veteran of the U.S. military, naval, or air service, with a service-connected disability of at least 10% or more and who is a California resident. Where at least 51% is owned by disabled veterans and conducts daily business management and control. The home office can not be a branch or subsidiary of a foreign corporation, foreign firm or other foreign-based business.

Disadvantaged Veteran Enterprise (DVE) - Disadvantaged Veteran Enterprise – A business that is a small business concern owned and controlled by veterans, where not less than 51% is owned controlled and managed by veterans. As defined in (38 U.S.C. '101(2)) See veteran definition.

Service Disabled Veteran (SDV) – A business that a veteran with a disability that is service connected. The term "service connected" means, with respect to disability or death, that such disability was incurred or aggravated, in the line of duty in the active military, naval or air service. (38 U.S.C. '101(16)).

Vietnam Veteran - A business that is a least 51% owned by one or more Vietnam Veterans who served between 1/1/59 and 5/7/75 and have control and operate the business. Control in this context means exercising the power to make policy decisions and operate means to be actively involved in the day-to-day management of the business

Veteran Business Enterprise (VBE) - Under SBA Guidelines Small Business Act (PL 85-536) as amended states: Small Business Concerns owned and controlled by veterans not less than 51% owned by one or more veterans and in the case of a publicly owned business not less than 51% of the stock is owned by one or more veterans.

Disadvantaged Business Enterprise (DBE) - A business that is usually certified by a federal, state or local government agency as having met all of the government standards that award eligibility, but may include women, minority, disabled and other disadvantaged by as a result of economic disadvantages with respect to education, employment, residence or business location or social disadvantage and lack of business training.

Small Disadvantaged Business (SDB) - Small Disadvantaged Business: New certification eligibility criteria established by the

SBA effective 7/1/99. All firms must be certified by one of the SDB Certification Agencies designated by the SBA. To qualify must be a small business, not exceed standards for Primary SIC, meet Contracting Officers' assigned SIC code, be a U.S. Citizen and be 51% owned and controlled by one or more Socially & Economically Disadvantaged Individuals. The SBA classification is based on a Preponderance of the Evidence Clause" this SDB Certification is good for 3 years.

Disabled Owned - A business that is at least 51% owned by one or more disabled persons who control and operate the business. Control in this context means exercising the power to make policy decisions and operate means to be actively involved in the day-to-day management of the business.

8(A) Designation - The 8A designation is given to small companies owned by socially and economically disadvantaged persons, so that they may bid and obtain federal government contracts and other assistance to develop their business. The business owner must be eligible under the same rules and guidelines set down by the federal government.

HUBZone Certified - A business that is operating in a certified historically under-utilized business zone. There are 7,000 qualified census tracts (HUD) and 900 qualified non-metropolitan counties.

Labor Surplus - Is generally defined for a Civil Jurisdiction rather than the entire MSA where the average unemployment number is 20% higher than the average U.S. unemployment rate in the last two calendar years.

Historically Black Colleges / Universities & Minority Institutions (HBCU/MI) - Historically Black and minority colleges and universities that are recognized by the government as legitimate set-aside business opportunities.

Small Business - To qualify as small business... a business concern eligible for assistance from SBA as a small business is one that is organized for profit, with a place of business located in the United States. It must operate primarily within the United States or make a significant contribution to the U.S. economy through payment of taxes or use of American products, materials or labor. Together with its affiliates, it must meet the numerical size standards as defined in the Small Business Size Regulations, 13 CFR 121. To obtain small business size standards, visit the Small Business Administration's website at <http://www.sba.gov/size/>.

Foreign Business Concern - A business concern organized or existing under the laws of a country other than the United States or its territories or possessions.